

# US Shares Transfer Form

**Please complete this form to transfer your existing US holdings from another broker to your Superhero account.**

Once completed and signed, please return this form to [hello@superhero.co.nz](mailto:hello@superhero.co.nz).

In order for your stock transfer to be actioned as quickly as possible, please make sure to read the below instructions.

## **Instructions:**

- Check with your existing broker regarding the rules of transferring out your US securities.
- Please include a copy of your holding statement with your current broker.
- If your shares are held with a share registry, please include a copy of the DRS statement.
- NO DIGITAL SIGNATURES.
- Attach a copy of a government issued photo ID (driver's license or passport). It must show your signature.
- No liquid paper.
- Crossing out of mistakes will not be accepted.
- Signature on your ID must match the signature on the form.

## **Mandatory fields:**

- Current broker name
- Current broker account name
- Current broker account number
- Current broker email address and phone number
- Superhero account name
- Superhero account number
- Email Address
- Security code, name, exchange, ISIN and quantity (number of shares held).
- Date
- Signature and Name

## US Shares Transfer Form

**Apex Clearing Corporation LLC**  
Registered with the SEC  
Member of FINRA and SIPC

**Superhero Securities Limited**  
ABN: 96 160 456 315  
AFSL: 430150

Please complete this form to transfer your existing holdings from another broker to your Superhero account. Once completed and signed, please return this form to [hello@superhero.co.nz](mailto:hello@superhero.co.nz). For any assistance please contact Superhero Client Services at [hello@superhero.co.nz](mailto:hello@superhero.co.nz).

### 1. Details of the account the US Stocks are coming from

Account Name (as at other broker)		Broker the Stocks are held with	
<input type="text"/>		<input type="text"/>	
Account Number (as at other broker)	Broker email address	Broker phone number	
<input type="text"/>	<input type="text"/>	<input type="text"/>	

### 2. Details of the Superhero account the US Stocks are moving to

Account Name (as at Superhero)	
<input type="text"/>	
Superhero Account Number	Client email address (as at Superhero)
<input type="text"/>	<input type="text"/>

### 3. US Stocks to be transferred

SECURITY CODE	SECURITY NAME (eg. Apple Inc.)	EXCHANGE (eg. NASDAQ)	ISIN (eg. US0378331005)	QTY (No. of shares)	*COST PRICE (per share)

\* Cost price is optional

\*\* Please attach a separate page, along with a signature and date, if additional securities are required to be transferred.

I/We give authority to transfer the above listed holdings into my Superhero account with APEX Clearing Corporation. I/We hereby certify that the transfer of stock does not involve in a change of beneficial ownership.

### Signature of Client/Security Holders requesting transfer (all security holders must sign)

Account Holder 1	Signature	Date (DD/MM/YYYY)
<input type="text"/>	<input type="text"/>	<input type="text"/>
Account Holder 2	Signature	Date (DD/MM/YYYY)
<input type="text"/>	<input type="text"/>	<input type="text"/>
Account Holder 3	Signature	Date (DD/MM/YYYY)
<input type="text"/>	<input type="text"/>	<input type="text"/>