

## Broker To Broker Transfer Form

**Please complete this form to transfer your existing holdings from another broker to your Superhero account.**

Once completed and signed, please return this form to [hello@superhero.co.nz](mailto:hello@superhero.co.nz)

In order for your stock transfer to be actioned as quickly as possible, please make sure to read the below instructions.

### **Instructions:**

- NO DIGITAL SIGNATURES
- Attach a copy of a government issued photo ID (driver's license or passport). It must show your signature
- For transfers from Australian Investment Exchange (AUSIEX), please supply a certified copy of your photo ID
- No liquid paper
- Crossing out of mistakes will not be accepted
- Signature on your ID must match the signature on the form
- If you wish to add the cost base to your holdings in your Superhero account, add it to the form in the fields provided.

### **Mandatory fields:**

- Sponsoring broker
- PID
- Account name
- Account number
- Registration address
- HIN number
- Superhero account number
- Email Address
- Security code, name and quantity (number of shares held)
- Date
- Signature and Name

## Broker to Broker Transfer Form

**FinClear Execution Limited**  
 ABN: 56 061 751 102 AFSL: 246842  
 Market participant of ASX & Chi-X  
 Clearing & settlement participant of ASX

**Superhero Securities Limited**  
 ABN: 96 160 456 315  
 AFSL: 430150

Please complete this form to transfer your existing holdings from another broker to your Superhero account. Once completed and signed, please return this form to [hello@superhero.co.nz](mailto:hello@superhero.co.nz) For any assistance please contact Superhero Client Services at [hello@superhero.co.nz](mailto:hello@superhero.co.nz)

Sponsoring Broker		Broker PID (if known)
<input type="text"/>		<input type="text"/>
Account Name (as at other broker)	Account Designation (if applicable)	
<input type="text"/>	<input type="text"/>	
Registered address (as at other broker)		
<input type="text"/>		
Suburb	Town/City	Postcode
<input type="text"/>	<input type="text"/>	<input type="text"/>
Holder Identification Number (HIN)	Account Number (as at other broker)	
<input type="text"/>	<input type="text"/>	
Superhero Account Number	Client email address (as at Superhero)	
<input type="text"/>	<input type="text"/>	

By completing this section you authorise Superhero to arrange with the broker sponsor or custodian/provider to transfer the securities detailed below to Superhero's Participant (FinClear Execution Limited) PID of 3556.

SECURITY CODE	SECURITY NAME (eg. A2 Milk Company Limited)	QTY (No. of shares)	*COST PRICE (per share)

\* Cost price is optional

\*\* Please attach a separate page, along with a signature and date, if additional securities are required to be transferred.

I/We authorise FinClear Execution Limited to transfer the above listed holdings into my/our trading account and I/we agree to be bound by the terms and conditions of Superhero Markets Pty Ltd and FinClear Execution Limited

### Signature of Client/Security Holders requesting transfer (all security holders must sign)

Account Holder 1	Signature	Date (DD/MM/YYYY)
<input type="text"/>	<input type="text"/>	<input type="text"/>
Account Holder 2	Signature	Date (DD/MM/YYYY)
<input type="text"/>	<input type="text"/>	<input type="text"/>
Account Holder 3	Signature	Date (DD/MM/YYYY)
<input type="text"/>	<input type="text"/>	<input type="text"/>